

Receipt



PATENT

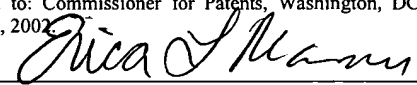
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:  
  
Lee Codel Lawson Tarbotton et al.  
  
Application No. 10/028,651  
  
Filed: December 20, 2001  
  
For: SYSTEM, METHOD AND COMPUTER  
PROGRAM PRODUCT FOR PRECLUDING  
WRITES TO CRITICAL FILES

)  
) Examiner: Unassigned  
)  
) Group Art Unit: 2131  
)  
) Atty. Docket No. NAI1P055/  
) 01.228.01  
)  
)  
) Date: March 12, 2002  
)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on March 12, 2002.

Signed:   
Erica L. Mann

REQUEST FOR CORRECTED FILING RECEIPT

RECEIVED

APR 04 2002

Technology Center 2100

Commissioner for Patents  
Application Processing Division's  
Customer Correction Branch  
Washington, D.C. 20231

Sir:

Enclosed is a copy of the Filing Receipt for the above-identified patent application. Please reprint the Filing Receipt as follows and mail the corrected copy to the undersigned.

Change the title from "SYSTEM, METHOD AND CUMPUTER PROGRAM PRODUCT FOR PRECLUDING WRITES TO CRITICAL FILES"

to

-- SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR PRECLUDING WRITES TO CRITICAL FILES --.

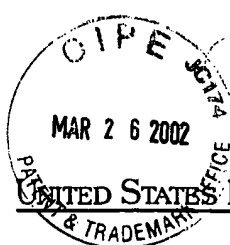
Because this is a Patent Office typographical error, no fee should be due. However, the Commissioner is authorized to charge any fees that may be due to Deposit Account 50-1351 (Order No. NAI1P055/01.228.01).

Respectfully submitted,  
Silicon Valley IP Group

Kevin J. Zilka  
Reg. No. 41,429

P.O. Box 721120  
San Jose, CA 95172-1120  
(408) 971-2573

Attorney Docket No. NAI1P055/01.228.01



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/028,651	12/20/2001	2131	1070	NAI1P055/01.228.01	5	29	5

CONFIRMATION NO. 2715

28875  
SILICON VALLEY INTELLECTUAL PROPERTY GROUP  
P.O. BOX 721120  
SAN JOSE, CA 95172-1120

## FILING RECEIPT



\*OC000000007350521\*

Date Mailed: 01/24/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Lee Codel Lawson Tarbotton, Aylesbury, UNITED KINGDOM;  
Daniel J. Wolff, Aylesbury, UNITED KINGDOM;  
Neil A. Cowie, Aylesbury, UNITED KINGDOM;

RECEIVED

APR 04 2002

Technology Center 2100

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 01/23/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

## Title

System, method and computer program product for precluding writes to critical files

Computer

Preliminary Class

713

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).